



WCT-7303

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231, on April 19, 2002

(Date of Deposit)

Name of applicant, assignee, or registered holder

Signature

Date

Applicant(s): Weiping Li and Fan Ling

Serial No. : 10/047,910

Filed : November 9, 2001

For : ENCODING AND DECODING OF TRUNCATED
SCALABLE BITSTREAMS

RESPONSE TO FORMALITIES LETTER, SUBMITTING
DECLARATION, ASSIGNMENT, PETITION, REPLACEMENT
DRAWING, SUBSTITUTE DRAWING, AND FEES

Assistant Commissioner for Patents

Washington, D.C. 20231

Sir:

This is responsive to the Formalities Letter dated March 7, 2002.

Small entity status has been claimed.

Transmitted herewith is the executed Declaration for the above-identified application, along with payment for the surcharge of \$65 (small entity) for filing the Declaration later than the filing date of the application, and the filing fees of \$370 (small entity).

As indicated in the Declaration, priority is claimed from U.S. Provisional Patent Application 60/247,334, filed November 10, 2000.

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Also attached, for recording, is an Assignment of the invention to WebCast Technologies, Inc., along with Cover Sheet Form PTO-1595, and payment of the recording fee of \$40. Please record the Assignment, and return the document to the undersigned Counsel.

A check for \$475 covers the above indicated fees of \$65, \$40, and \$370.

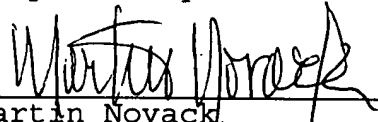
Also attached is a Petition To Restore Sheet of Drawing Lost By The PTO, together with a check for the required Petition fee of \$130 (and including a request to return the Petition fee), and the sheet of drawing to be restored in the Application. Since the sheet of drawing to be restored (Figure 4) may not conform to the margin requirements for publication, Applicant also submits herewith a sheet of substitute drawing for Figure 4, which conforms to the drawing margin requirements and removes superfluous marks, but which is substantively exactly the same as the original Figure 4.

If any further fees are required in conjunction with this submission, please charge them to Deposit Account No. 14-1435.

Boca Raton, FL 33496
Tel. (561) 912-9203
Fax. (561) 912-9092
April 19, 2002

(I-36)

Respectfully submitted,

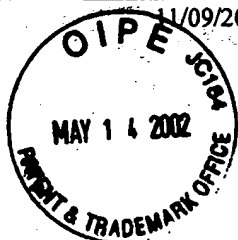

Martin Novack
Attorney for Applicant(s)
(Reg. No. 25,164)



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/047,910	11/09/2001	Weiping Li	WCT-7303



Martin Novack
Attorney for Applicant
17414 Via Capri East
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CONFIRMATION NO. 2887

FORMALITIES LETTER



OC00000007593040

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Date Mailed: 03/07/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

05/16/2002 HTECKLU1 00000073 10047910

01 FC:201
02 FC:205

370.00 OP
65.00 OP

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 435.

The following item(s) appear to have been omitted from the application:

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- Figure(s) 4 described in the specification.

I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the U.S. Patent and Trademark Office (USPTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$130.00 petition fee (37 CFR 1.17(h))) with evidence of such deposit **must** be filed within **TWO MONTHS** of the date of this Notice. The petition fee will be refunded if it is determined that the item(s) was received by the USPTO.

II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in the USPTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h))) requesting the later filing date **must** be filed within **TWO MONTHS** of the date of this Notice.

III. The failure to file a petition (and petition fee) under the above options (I) or (II) within **TWO MONTHS** of the

date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the application as deposited in the USPTO. **THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR 1.136(a) or (b).** In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing date as of the date of deposit of the application papers in the USPTO, and original application papers (i.e., the original disclosure of the invention) will include only those application papers present in the USPTO on the date of deposit.

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the references in the specification to the drawing figures to correspond with any relabelled drawing figures, is required. Any drawing changes should be accompanied by a copy of the drawing figures showing the proposed changes in red ink. Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the application.

A copy of this notice MUST be returned with the reply.

H. Truong

Customer Service Center

Initial Patent Examination Division (703) 305-1202

PART 2 COPY TO BE RETURNED WITH RESPONSE

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